USIB-D-39, 7/13 5 January 1966

UNITED STATES INTELLIGENCE BOARD

MEMORANDUM FOR THE UNITED STATES INTELLIGENCE BOARD

SUBJECT

Committee on Documentation Report of

Task Team IV (Installations)

REFERENCES

a. USIB-D-39, 7/6, 6 May 1964

b. USIB-M-322, 29 April 1964, item 5

c. USIB-D-39, 7/5, 16 March 1964

- 1. The enclosed report by the Committee on Documentation (CODIB) on the study undertaken by CODIB's Task Team IV (Installations), pursuant to USIB direction in reference a., is submitted for USIB consideration of the Recommendations contained in Section D, pages 9 and 10.
- 2. This report is the first response to the USIB action at its meeting on 29 April 1964 (reference b.) approving as amended the CODIB recommendations on pages 20, 21 and 22 of the Stage I Report of the Staff for the Community Information Processing Study (SCIPS) (reference c.). Pursuant thereto, nine Task Teams were established by CODIB to report on Paragraphs 4.a. through j. of the final USIB-approved recommendations contained in the attachment to reference a. These Task Team Reports, as they are completed, are being reviewed by CODIB which will then submit as appropriate its report and recommendations for USIB consideration.
- 3. Specifically the enclosed CODIB report and its attached Task Team IV report are a response to Recommendation 4. d. of the final USIB-approved recommendations, regarding the SCIPS Report which directed CODIB to establish an ad box group, to "develop a standard installation description format". The enclosed CODIB report contains a Summary of Task Team Findings; CODIB Comments on the Task Team Report; and in Section D, pages 9 and 10, CODIB's Recommendations to USIB.

Distribution: attached

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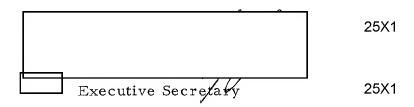
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USIB-D-39.7/13 5 January 1966

4. The enclosure and its attachment will be scheduled on the agenda for USIB consideration at an early date, probably 27 January 1966.



Enclosure

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CODIB-D-111/1.4/7 21 December 1965 Final CODIB Approved

# UNITED STATES INTELLIGENCE BOARD COMMITTEE ON DOCUMENTATION

## REPORT OF TASK TEAM IV (INSTALLATIONS)

REFERENCES: a. USIB-D-39.7/6 (6 May 1964)

b. CODIB-D-111/1.4 Series (29 Dec 64 - 25 Oct 65)

## A. Background

This is a report on the study undertaken by CODIB's Task Team IV

(Installations) pursuant to USIB direction contained in reference (a). The objective

of the task assigned to this Task Team, completion of which is now reported, was

to develop a uniform format(s) for the identification of physical installations and

geographic features of intelligence interest to facilitate the processing and exchange

of intelligence information and documents thereon.

## B. Summary of Task Team Findings

## 1. General

The Task Team IV report (attached) addresses itself primarily to two basic issues:

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- a. What elements of information are required for positive identification of new installations, and
- b. What <u>code conventions</u> should be used in representing each of these identifying elements.

Subjects not covered in the report, on which we will have some comment in the next section, include Community control and enforcement procedures, implementation/conversion scheduling, delegation of "executive agent" responsibilities, security problems, etc.

## 2. Elements of Information

In attempting to develop a uniform format for installations, the Task
Team distinguished between what it termed "descriptive" and "identificatory"
factors used for installations, as well as between the differing needs for initial
and subsequent identification of installations. Concerning the former, the Team
concluded after examination of the hundreds of different elements of information
used for various categories of installations in Community installation files that
some of the factors used are entirely identificatory, others are completely
descriptive, and some are both identificatory and descriptive. With regard to
the distinction between initial and subsequent identification, the Team noted
that in determining whether to add a new installation to the intelligence data
base a number of identificatory elements are required, but that the subsequent

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- 3 -

identification of already established installations is a far simpler matter necessitating, perhaps, only the identification number of the installation or, at the maximum, identification number and function code.

After intensive exploration of the minimum number of elements required for identification of new installations (or geographic features) of intelligence interest the Task Team concluded that four elements are, in themselves, sufficient to achieve positive identification. These factors are:

- a. Identifying number
- b. Category code (identifying the function of the installation)
- c. Name of the installation or geographic feature
- d. Coordinates of the location of the installation

A fifth element, namely, an indicator for the country in which the installation is located, was considered desirable but not required for positive identification.

## 3. Code Convention

Concerning the means for representing the above four elements of information, the Task Team recommended the following:

- a. That the installation numbering system be that employed in the DIA Automated Intelligence File (AIF).
- b. That the functional category code and name systems be those used

by DIA, as described in its "Handbook for Installation Naming and Functional Classification" (DIAM 65-3-1).

c. That geocoordinates and/or UTM Grid coordinates be employed, together with the DIA system for deriving said coordinates (as described in its "Point Reference Guide Book") and referencing the source from which the coordinates were obtained.

## 4. Community Impact

The Task Team was faced with the problem of devising a format providing for a variety of interests (information processing, dissemination, collection guidance, installation analysis, etc.), for the inclusion of identifying elements in a variety of information processing systems (manual, EAM, EDP, etc.), and for the use of elements found in both machine-structured and indexed narrative systems. Its investigations led it to the conclusion that adoption of the four elements recommended for positive identification of new installations will have a minimal adverse impact on the holdings and procedures of the agencies concerned, and that the positive gains in terms of increased accuracy and speed in interchange of information, as well as the reduction in the confusion caused by the use of differing identification systems in the several agencies, should far offset any adverse impact that might result.

### C. CODIB Comment on Report

### 1. General

In the view of CODIB, this report deserves more than usual attention for the

reason that it addresses a number of problems which we will find are common to all on-going efforts to standardize Community activities in information processing. Further, since decisions in this particular subject area (i.e., installation control) may tend to set the precedents for the handling of similar problems in other subject areas as well, an attitude of caution seems warranted.

CODIB agrees in general with the Task Team that the use of the four factors recommended will permit the positive identification of installations, and that Community-wide use of these elements (and standardized code systems for representing same) will greatly simplify the communication problem. We commend the Task Team for the excellence of its effort, and believe that if its basic proposals can be implemented in practice without undue stress and conflict, it will constitute a significant achievement. In order, however, to minimize the difficulties which might arise and to increase the chances of successful implementation, we feel obliged to qualify some of the Task Team's findings and recommendations, and to draw attention to other aspects of the standardization problem which they did not examine and which require further study and analysis. Our comments follow.

## 2. Identification Elements

It cannot be denied that, given the four identifying elements described, any installation can be positively identified. However, it is also evident that the reporting medium must contain these elements if the installation described therein

is to be recognized. Unfortunately, this is not always the case (for example, in Comint where the only reference may be to a Field Post Number) and nothing can be done about it.

In brief, one can argue that other elements of information can be used to positively identify an installation, though perhaps they are of lesser value statistically. More important, however, the acceptance of the recommended elements should not prohibit any agency from including additional identificatory or descriptive elements in its records which might prove useful because of the nature of the reporting with which it deals or because of the particular interests of its customers.

#### 3. Prescribed Entries

If and when discussions with the control center responsible for determining what the common entry should be for a given element of information (e.g., category code) fail to produce agreement on said entry, the agency involved should be permitted to carry an alternative entry, in addition to that prescribed, in its information records.

## 4. Specificity of Control

Where for reasons of manpower constraints, lack of internal customer interest, or other, it would not be worth the effort to a member agency of the Community to control certain types of installations (e.g., beaches or railway bridges) in the depth required, it should be given the right either to place more generic controls over the data or to exclude such data entirely from its retrieval system.

## 5. Implementation/Conversion Scheduling

The time schedule for conversion (if any) of extant files, or application of any Community standards to newly-established files, should be the responsibility of the individual agencies concerned, and can be expected to vary depending on such factors as available funds, file media currently employed, plans for new systems development, etc.

## 6. Security

The Task Team report does not discuss some of the security problems which may be encountered in a Community-supported file of installation data, and the potentially disruptive influence this may have on achieving agreement with respect to the <u>values</u> for the identifying elements. Certainly, this matter requires further clarification before a truly Community-based file can be implemented.

### 7. Executive Agent Responsibility

A single agency should be assigned as the focal point for the introduction and recommendation of new installations to be included in the Community data base, and as the authority for controlling the identification elements pertaining thereto. In this instance, we recommend that the Defense Intelligence Agency, with its responsibilities with respect to the National Command Authority and the Intelligence Community of the United States and Allied Nations, would be in the best position to serve this function. Since it is evident, however, that vesting such control in a

as updating, revising, and correcting files, as well as disseminating the pertinent decisions -- all within an acceptable time frame -- it is understood that any agreement on the adoption of Task Team IV's proposals will include the development and demonstration in actual practice of working procedures for overcoming such problems agreement Concerned.

## 8. Functional Classification Code

In its present form, the category code contained in DIAM 65-3-1 does not appear to be completely satisfactory for the description of certain kinds of installations. It seems probable, however, that arrangements can be worked out to modify or expand the code in such a way that the resultant product would be acceptable to all concerned.

#### 9. Installation Naming System

The proposed system for naming installations is somewhat restrictive in that it limits the name field to 38 characters. If an agreement cannot be reached which would permit an increased fixed-length, or variable-length, field for name, some agencies may feel obliged to carry alternative versions of some names, in addition to the standardized Community name, as cross-reference entries.

\* Converded by U51B. See, U51B-M- 421. 4 February 1966, SEE-C-R-E-T

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## 10. Country Code

We recommend inclusion of the country code as the fifth identifying element. In this connection, CODIB's Task Team I (Content Control) is now completing a very comprehensive analysis and definition of politico-geographic areas for intelligence purposes. This analysis has turned up many anomalies and/or inconsistencies in existing country codes' structures. When this project is finalized, we will probably recommend its adoption not only for installation control but throughout the Community wherever feasible.

## D. Recommendations

It is recommended that USIB:

- 1. Note the general findings and conclusions of the Task Team IV report and the above CODIB comments thereon.
- 2. Approve, as required elements for positive identification of installations and geographic features, the four elements recommended in the report and a suitable country code, as listed below:
  - a. Identifying number.
  - b. Category code (identifying the function of the installation).
  - c. Name of the installation or geographic feature.
  - d. Coordinates of the location of the installation.
  - e. A suitable indicator for the country in which the installation is located.

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- 3. Request the several member agencies to develop and submit to CODIB a plan for implementing the use of the above-listed five elements of information and implement the use of these elements in the prescribed manner to the maximum feasible extent.
- 4. Assign the Defense Intelligence Agency executive agent responsibilities for installations control on a trial basis.
  - 5. Request the Defense Intelligence Agency to develop for CODIB consideration:
    - a. The procedures to be adopted in the areas of communication, control, and enforcement.
    - b. How security problems will be resolved if the data base will be all-source.
    - c. A modified functional category code which can be applied to all types of installations.
    - d. An installation naming system which will permit an adequate fixedlength field.

Chairman

Attachment

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USIB-D-39.7/13 CODIB-D-111/1.4/7 ATTACHMENT

## UNITED STATES INTELLIGENCE BOARD

COMMITTEE ON DOCUMENTATION

TASK TEAM IV - INSTALLATIONS

FINAL REPORT

T/IV/R-1/1

12 October 1965

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T/IV/R-1/1 12 October 1965

## UNITED STATES INTELLIGENCE BOARD

COMMITTEE ON DOCUMENTATION

TASK TEAM IV - INSTALLATIONS

MEMORANDUM FOR: Chairman, Committee on Documentation

SUBJECT: Final Report of Task Team IV - Installations

- 1. Final Report (T/IV/R-1/1) of Task Team IV Installations is forwarded herewith.
- 2, Of the large number of elements used in the Intelligence Community to identify and describe installations and geographic features of intelligence interest, Task Team IV has concluded that four elements are in themselves sufficient to achieve positive identification. These factors are:
  - a. Identifying number (peculiar to said installation or geographic feature).
  - b. Category code, identifying the function of the installation.
    - c. Name of the installation or geographic feature.
  - d. Geographic code, establishing the position of the installation (and including the source of said coordinates).

Consideration was also given to the use of a country code, defining the country in which the installation or feature is located.

3. In the selection of the four required factors, the Team kept in mind the differing needs of initial and subsequent identification of

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installations. Initial identification is involved in nominations for adding new installations to the intelligence base. These require all four factors to the extent possible. Subsequent identification by elements of the Washington Intelligence Community of already established installations is a simpler matter. From a purely ADP point of view, and with respect to established as against new installations, two factors would be sufficient; identification number of the installation and the category code. However, the needs which the identification factors must satisfy and the requirements to serve a variety of information processing systems have resulted in the Task Team conclusion that the first four factors should, with respect to new installations, be mandatory, and the fifth highly desirable, but not mandatory.

- 4. The Task Team has concluded that adoption of the four elements required for positive identification of new installations will have a minimal adverse impact on the holdings and procedures of agencies concerned. The positive gains in terms of increased accuracy and speed in interchange of information and reduction in the confusion caused by use of differing identification systems in the several agencies should far offset any adverse impact.
- 5. It may be of some interest to note that following completion of the initial tasks of Task Team IV, the Commander-in-Chief, European Command, reached the same conclusions. He requested permission to take steps permitting the Supreme Allied Commander, Europe, to release to NATO national units having strike assignments in support of operations in Europe, specific identifying data with respect to targets. These data were described as "common identifying data" for the attainment of compatibility of target documents and strike listings. He held that such identifying data do not constitute intelligence precluding such distribution. The elements recommended are:

Bombing Encyclopedia Number Target Category Code Name Coordinates Country Code

- 6. The Chairman of Task Team IV:
- a. Has been fortunate in having available to the Team the very considerable technical competence of the agencies concerned with installations intelligence;

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- b. Has benefited considerably by the data furnished, the judgments expressed, and by the many discussions by members of the Task Team on the common task of enhancing the operational efficiency of the Intelligence Community. The knowledge and experience of Team members are reflected in the results achieved, which are considered not to have any serious adverse impact on the installations intelligence base supporting United States war plans.
- 7, <u>Informal Reactions</u>: In accordance with the instructions of CODIB, the Task Team has canvassed the appropriate elements of the Intelligence Community for informal reactions to the Team's Interim Report. A summary of the informal reactions obtained was submitted to the CODIB Support Staff on 12 July 1965.
- 8. Points Raised by CODIB Chairman: Attachment 2 to CODIB-M-63 raised certain points with respect to the Interim Report. Written responses to all seven items were conveyed to the CODIB Support Staff on 16 July 1965, later reproduced as Attachment A to CODIB-D-111/1.4/3 dated 31 August 1965.
- Points Raised by CODIB Support Staff: [ 25X1 Chief of the CODIB Support Staff, in his memorandum CSS/MM-46, dated 22 June 1965 (Attachment 3 to CODIB-M-63) also furnished helpful comments. With respect to the suggestion that a standard country code be required as a fifth element for identification of installations and geographic features, the Task Team is in accord. It was agreed, however, as indicated in paragraph 10 of the Minutes of the 63rd Meeting, held by CODIB on 24 June 1965, that the Task Team's report would go forward without having to get agreement on a country code. Additionally, as pointed out by the CODIB Support Staff, the problems of a country code system are undergoing resolution as part of the responsibility assigned to CODIB Task Team I. Attention was also called to the fact that the Report does not identify the various records or files in the Community that should adopt standard identification elements, nor establish a time table for conversion to the recommended system. The Task Team does not believe it appropriate (or even possible on a SECRET basis) to invade agency autonomy and attempt to identify all of the records which might be affected in all of the agencies concerned. With respect to a time schedule, the Task Team concurs with the Central Intelligence Agency belief that it should be the responsibility of the individual agencies concerned to establish time schedules for conversion (if any) of extant files or the application of any new community standards to newly established records. Additionally,

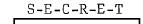
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time schedules will vary depending upon such factors as available funds, file media currently employed, plans for new systems development, etc. It was further recommended that CODIB request the members of this Task Team to monitor conversions to assure its implementation in all agencies and to report periodically to CODIB on the progress of the conversion. The Task Team believes that monitorship of conversion and periodic reports to CODIB is more properly assignable to the Executive Agent, the appointment of which has been recommended by the Central Intelligence Agency.

- 10. Formal Coordination: Formal coordination of the Report is underway by CODIB. Formal reaction of the Central Intelligence Agency, contained in memorandum of 16 August 1965 (Attachment B to CODIB-D-111/1.4/3) is currently under study by the Defense Intelligence Agency. DIA views on the CIA paper will be presented to CODIB through the DIA member.
- Thoughts of the Task Team with Respect to the CIA Paper: Although the reactions of the Task Team to the CIA paper have not been specifically requested, the Task Team offers the following comments for whatever help they may be. The Task Team is of the opinion that the reservations expressed by CIA are very reasonable indeed in that they are designed to insure agency autonomy while providing for uniform procedures within the Intelligence Community for identification of installations in areas of common or overlapping interest and responsibility. The conditions expressed by CIA, however, do not appear to be conducive to early implementation of the recommendations of the Task Team. CIA recommends that authority should be vested in DIA as a single Executive Agent and while in general appearing to support the recommendations of the Task Team, states that CIA cannot permit itself to adopt the recommendations set forth until certain problems are addressed and resolved. The Task Team believes that none of the problems cited by CIA is incapable of solution in a manner meeting the needs of the Intelligence Community, and it believes that CODIB's responsibility for the resolution of such problems and the drafting of the terms and conditions of such an Executive Agency can most effectively be discharged by direct negotiations between appropriate elements of CIA and DIA, under overall CODIB monitorship. Team believes that appropriate agreement between CIA and DIA, without detriment to the operational relationships between DIA and the  $\ensuremath{\text{U\&S}}$ Commands, can be reached while still meeting the legitimate requirements of the Intelligence Community.
- 12. The suggestion of CIA that an interagency committee be established, composed of analysts at the working level, to meet regularly with the Executive Agent to discuss matters of mutual concern, appears



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to the Task Team to be an inefficient and costly mechanism. It would also contribute to still further proliferation of committees in the Intelligence Community: Although DIA abides by the suggestion of CIA that DIA be named as the Executive Agent and, in spite of the fact that the recommended elements for identification are those in current use by DIA, the Task Team concludes that acceptance by DIA of the responsibilities of an Executive Agent would probably entail need for the resolution of a number of problems within DIA. A more effective mechanism would be required for the assignment of identification numbers for installations. An expansion and/or re-formatting of the name field might become necessary. Additional positional accuracy data (actual CEP) for the file might be required. The present functional or category classifications may require expansion or revision. Support or acceptance of installations in any and all functional classifications might be entailed. Arrangements would probably have to be made for acceptance of intelligence data for the AIT under sensitive security controls. Arrangements would be required for changes in standard codes to meet Intelligence Community requirements while preserving, at the same time, the special operational relationships between DIA and the Unified and Specified Commands. Additional resources would probably be required in support of the data base. Given, however, the cooperation and good will of the agencies concerned, a workable agreement should be possible and, when completed and in operation, this should serve to enhance the resources and operations in this specialized field of the Intelligence Community as a whole.

- 13. CIA-Recommended Follow-up Action: The various actions recommended by CIA under this title and any subsequent implementation problems are, in the judgment of the Task Team, more appropriately assignable to CIA and DIA for direct negotiation rather than being assigned to a CODIB-monitored entity composed of representatives from a large number of agencies.
- 14. The Task Team having concluded its assigned task of determining and identifying the minimum number of elements required for positive identification of installations, has completed its assignment. It is the desire of Task Team IV's members that they be permitted to retire from the field, with the Team itself being retained on paper for a reasonable period (not to exceed 6 months) to enable reactivation at any time should the views of the Team on interpretation of any portion of its Final Report be required by CODIB, CIA, or DIA while engaged in converting the recommendations of the Task Team into effectively functioning arrangements.

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Others who have contributed significantly to the work of the Team are the following:

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Lt. Cdr. Joe L. Elm, NAVY

Lt. Col. Robert W. Parks, NMCSSC (USA)

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T/IV/R-1/1 12 October 1965

### UNITED STATES INTELLIGENCE BOARD

COMMITTEE ON DOCUMENTATION

#### TASK TEAM IV - INSTALLATIONS

#### FINAL REPORT

## TABLE OF CONTENTS

SUMMARY	ii
DISCUSSION	3
Name of the Installation or Geographic Feature	7
Country Code	18

#### TABS

- A Installation Identification Numbering System
- B Category Code and Product Index
- C Installation Naming Procedure for SAM Sites
- D Sample Pages from Point Reference Guide Book
- E Coordinate Reference Criteria
- F Consolidated Format Requirements
- G Format Coding Requirements

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## SUMMARY

- 1. Task Team IV has concluded that four elements are required for the positive identification of installations and geographic features of intelligence interest. These elements are:
  - a. Installation identification number.
  - b. A designator of the categories involved; in other words, functional classification of the installation or geographic feature.
    - c. The name of the installation or geographic feature.
  - d. The coordinates (geographic and/or UTM) of the location of the installation and the graphic source from which derived.

A fifth element—an indicator for the country in which the installation is located—is desirable but not required for positive identification.

- 2. The Task Team recommends that the installation identification system contained in the DIA Automated Intelligence File (AIF) be adopted for use in this field by the Washington Intelligence Community, and that the functional classification contained in the "Handbook for Installation Naming and Functional Classification" (DIAM 65-3-1) also be adopted. Recommended procedures, which are necessarily somewhat complicated, are those contained in DIAM 65-3-1. As to coordinates, the Team recommends the use of the "Point Reference Guide" PC-560/1-64, with the use of graphic references from which the coordinates are derived.
- 3. The Final Report, together with the tabs mentioned therein, provides details of the many conclusions reached by the Task Team with respect to the four elements mentioned and contains a discussion also of a country code system.
- 4. On one point and one point alone, the Task Team was unable to reach unanimous agreement prior to submission of its Interim Report and this concerned the naming of missile sites. Subsequent to the submission of the Interim Report, due to the activities of the Task Team and the active participation of representatives of the Defense Intelligence Agency, the National Photographic Interpretation Center, and appropriate elements of the Central Intelligence Agency, this matter was resolved to the satisfaction of all concerned. This was made possible by DIA's adoption of the NPIC system for naming of research and development missile sites and the adoption by CIA/NPIC of DIA's naming procedures for operational missile sites. This solution represents the initial fruits of the operation of Task Team IV.

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#### DISCUSSION

1. The objective of the task assigned to this Task Team is:

"To develop a uniform format(s) for the identification of physical installations and geographic features of intelligence interest to facilitate the processing and exchange of intelligence information and documents thereon." (Contained in Terms of Reference approved by CODIB on 9 December 1964 as stated in communication from CODIB dated 26 December, CODIB-D-111/1.4/1).

- 2. An examination has been made by the Task Team into the various formats in use within the Intelligence Community for the description and identification of installations and geographic features. This examination revealed, in some instances, between one hundred and two hundred descriptive factors used for a single installation/geographic feature. A case in point is "Landing Beaches," for which 140 individual descriptive factors may be included for a single landing beach. The examination of formats used for various categories of installations indicated clearly that some of the factors used are entirely identificatory; others are completely descriptive; and some are both identificatory and descriptive. At the other extreme, one completely automated system requires but two items for positive identification:
  - a. An identification number for the installation;
  - b. A coded category number expressing the function of the installation.

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- - 3, To this end, the Task Team has devoted a number of meetings in exploration of the minimum number of elements required for positive identification of installations (or geographic features) of intelligence interest, of universal applicability regardless of the type of category of installation, i.e., applicable to both Port Facilities and Biological Warfare Research Institutes, to both Submarine Force Headquarters and Intercontinental Ballistic Missile Launch Sites, to both Ammunition Depots and Wet Cell Storage Battery Production Facilities, etc.
  - 4. The Task Team has concluded that with the use of four factors or elements, positive identification can be made of installations and/or geographic features of intelligence interest; that these elements are applicable to all types or categories of installations/geographic features; that community-wide use of these elements will facilitate the processing and exchange of intelligence information and documents thereon; that these elements may be applied to all sources and systems of intelligence interest; that these elements can be incorporated in a format of the characteristics desired for information processing, dissemination, collection guidance and installation analysis; that these elements can be used in a variety of information processing systems and that the four elements selected also satisfy the need for inclusion in both machine-structured and indexed narrative systems.

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- 5. The elements selected are as follows:
  - a. An installation identification number.
- b. A designator of the category involved; in other words, the functional classification of the installation or geographic feature.
  - c. The name of the installation or geographic feature.
- d. The coordinates of the location of the installation, and the source from which derived.

Consideration was also given to an indicator for the country in which the installation is located.

6. Agreement was reached fairly promptly and unanimously within the Task Team on the factors listed above. Considerable time was devoted to exploration of the advantages and disadvantages of the various alternatives available in the Intelligence Community with respect to functional codes, UTM or geographic coordinates, country codes, etc. Ultimately, agreement was reached by the Task Team that the following be adopted by the Intelligence Community for use in formats devoted to installations and geographic features of intelligence interest:

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Next 58 Page(s) In Document Exempt